

Date: 16<sup>th</sup> July, 2019

To,  
**The Deputy General Manager**  
**The Reserve Bank of India**  
Dept. of Non Banking Supervision,  
Mumbai Regional Office,  
Reserve Bank of India Building,  
Opp. Mumbai Central Railway Station,  
Mumbai - 400 008

Dear Sir / Madam,

**Subject: Appointment of Compliance Officer, Principal Officer and Principal Nodal Officer.**

Ref: Circular nos. 1) RBI/2014-15/632 DNBR (PD)CCNo.040/03.01.001/2014-15 (Compliance Officer)  
2) RBI/2015-16/108 DNBR (PD)CC No. 051/03.10.119/2015-16 (Principal Officer)  
3) RBI/2017-18/133 DNBR (PD) CC. No 091/03.10.001/2017-18 (Nodal Officer)

This is to inform you that the Board of Directors in their meeting held on 01<sup>st</sup> June, 2019 have appointed Mr. Sanjeev Kumar Mishra – Associate Head - Operation of the Company as the Compliance Officer, Principal Officer and Principal Nodal Officer for all zone/region of the company as per the circular no.s DNBR (PD)CCNo.040/03.01.001/2014-15, DNBR (PD) CC No. 051/03.10.119/2015-16 and DNBR.PD.CC.No 091/03.10.001/2017-18 respectively.

The contact details of the Compliance Officer/Principal Officer/Principal Nodal Officer are as under:

| Sr. No | Name of the Compliance Officer, Principal Officer and Principal Nodal Officer | Contact No                     | Email Id   |
|--------|---|--------------------------------|--|
| 01     | Mr. Sanjeev Kumar Mishra  | 022-40273738<br>+91-9967604129 | <a href="mailto:mishra@itiorg.com">mishra@itiorg.com</a> |

You are requested to take the above on record and acknowledge the receipt.

Thanking You

Yours truly,

For Fortune Integrated Assets Finance Limited



Chintan V. Valia  
Managing Director  
(DIN: 05333936)



**CERTIFIED TRUE COPY OF THE RESOLUTION PASSED BY THE BOARD OF DIRECTORS OF FORTUNE INTEGRATED ASSETS FINANCE LIMITED IN THEIR MEETING HELD ON SATURDAY, 15<sup>th</sup> JUNE, 2019 AT THE OFFICE OF THE COMPANY SITUATED AT 'NAMAN MIDTOWN' 'A'-WING, 21<sup>ST</sup> FLOOR, SENAPATI BAPAT MARG, ELPHINSTONE ROAD, MUMBAI - 400 013**

**Change in the "Principal Officer":**

The Chairman informed the Board that Mr. Ashish Soni who was acting as Principal Officer of the Company as required under the applicable provisions of the Prevention of Money Laundering Act, 2002 has resigned from the employment of the Company. The Company needs to replace the person as principal officer in his place. The Chairman suggested the name of Mr. Sanjeev Kumar Mishra - Associate Head-Operation of the Company in place of Mr. Ashish Soni.

After some discussions the following resolution was passed:

**"RESOLVED THAT** Mr. Sanjeev Kumar Mishra - Associate Head- Operation of the Company be and is hereby appointed as Principal Officer of the Company in place of Mr. Ashish Soni who has resigned as employee of the Company as required under the applicable provisions of the Prevention of Money Laundering Act, 2002 and amendments made from time to time for the purpose of monitoring and reporting of all transactions and sharing of information al Intelligence Unit - India (FIU-IND) under the said act and to maintain close liaison with enforcement agencies, banks and any other institution which are involved in the fight against money laundering and combating financing of terrorism and to sign and file all necessary forms, returns and documents as may be required statutorily in connection with, and to take all such actions and things from time to time on behalf of the company.

**RESOLVED FURTHER THAT** an intimation be sent to Financial Intelligence Unit - India (FIU-IND) and Reserve bank of India, Mumbai Regional office about the change in the principal officer and that any one of the directors be and is hereby authorized to do all other acts, deeds, matters and needful to give effect to the aforesaid resolution.

**RESOLVED FURTHER THAT** a certified copy of the foregoing resolution duly signed by any one of the Directors of the company be submitted to the relevant authorities if any, for their information and record."

For **Fortune Integrated Assets Finance Limited**

  
**Chintan Valia**  
Managing Director  
(DIN: 05333936)

